

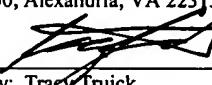


**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Stephan B. Sears  
Serial No.: 10/719,230  
Filed: November 20, 2003  
Docket: 30446.2USC1  
Title: WATER PROCESSING DEVICE

**CERTIFICATE UNDER 37 CFR 1.8:**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 19, 2004.

  
By: Tracy Truick

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

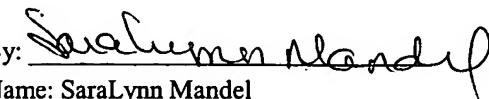
Sir:

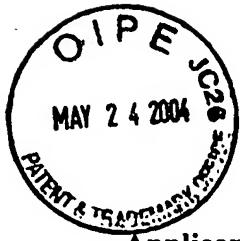
We are transmitting herewith the attached:

- Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.8.
- Information Disclosure Statement (37 C.F.R. §1.97 (c)(2))
- Form 1449 (Information Disclosure Statement) (1 sheet)
- A check in the amount of \$180.00 under 37 C.F.R. §1.17(p)
- Return postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 50-0306. A duplicate of this sheet is enclosed.

**MANDEL & ADRIANO**  
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Pasadena, California 91101  
(626)395-7801

By:   
Name: SaraLynn Mandel  
Reg. No.: 31,853  
Initials: SLM



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Stephan B. Sears      **Examiner:** Fred G. Prince  
**Serial No.:** 10/719,230      **Group Art Unit:** 1724  
**Filed:** November 20, 2003      **Docket No.:** 30446.2USC1  
**Title:** WATER PROCESSING DEVICE

**CERTIFICATE UNDER 37 CFR 1.8:**

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By: Tracy Truett

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. They are as follows:

- U.S. Serial No. 3,890,205, June 17, 1975, Schnitzer. (Exhibit 1)
- U.S. Serial No. 4,030,985, June 21, 1977, Barba et al. (Exhibit 2)
- U.S. Serial No. 4,585,167, April 29, 1986, Kholin. (Exhibit 3)
- U.S. Serial No. 4,698,136, October 6, 1987, El-Allawy. (Exhibit 4)
- U.S. Serial No. 4,978,429, December 18, 1990, Sears et al. (Exhibit 5)
- U.S. Serial No. 5,248,394, September 28, 1993, Schlesinger et al. (Exhibit 6)

This statement should be considered because it is submitted before the mailing date of the final Office Action on the merits according to 37 C.F.R. §1.97(c)(2) and is accompanied by a fee of \$180.00 under 37 C.F.R. §1.17(p). In accordance with 37 C.F.R. §1.98(d)(1)(2), copies of Exhibits 1-6 as set forth in the enclosed Form 1449 are not provided as they have been previously

05/28/2004 ZJUHAR1 0000023 10719230

Applicant: Stephan B. Sears  
Serial No.: 10/719,230  
Filed: November 20, 2003  
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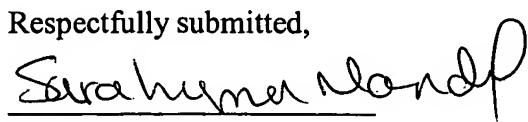
provided in U.S. Serial No. 09/991,569 filed November 16, 2001, for which the subject application claims priority.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that the references have been thoroughly reviewed or that any relevance of any portion of a reference is intended.

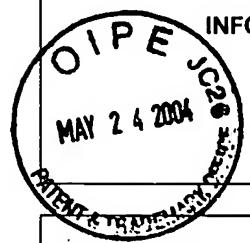
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

No fee, other than the fee under 37 C.F.R. §1.17(p) is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if any additional fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 50-0306.

Respectfully submitted,

  
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FORM 1449*	Docket Number 30446.2USC1	Application Number 10/719,230
<b>INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b>  (Use several sheets if necessary)	Applicant Stephan B. Sears	Filing Date November 20, 2003
<i>O I P E</i> <i>JC28</i> <i>MAY 24 2004</i>	Group Art Unit	



## **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	3,890,205 Schnitzer	June 17, 1975 (Exhibit 1)					
	4,030,985 Barba et al.	June 21, 1977 (Exhibit 2)					
	4,585,167 Kholin	April 29, 1986 (Exhibit 3)					
	4,698,136 El-Allawy	October 6, 1987 (Exhibit 4)					
	4,978,429 Sears et al.	December 18, 1990 (Exhibit 5)					
	5,248,394 Schlesinger et al.	September 28, 1993 (Exhibit 6)					

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER \_\_\_\_\_ DATE CONSIDERED \_\_\_\_\_

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

\*Substitute Disclosure Statement Form (PTO-1449) Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE